



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 6

Application Number 10/656,659
Filing Date September 5, 2003
First Named Inventor Shibanuma
Group Art Unit 1625
Examiner Name Evelyn Mei Huang
Attorney Docket Number 09792909-5708

ENCLOSURES (check all that apply)

- ☒ Transmitted herewith is Response to Notice of Non-Compliant Amendment.
☒ The fee has been calculated as shown below:

(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3)	(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS	2	-	20	0	<input type="checkbox"/> x \$9.00 <input type="checkbox"/> x \$18.00	\$0
INDEPENDENT CLAIMS	1	-	3	0	<input type="checkbox"/> x \$42.00 <input type="checkbox"/> x \$86.00	\$0
	APPLICATION AMENDED TO CONTAIN ANY MULTIPLE DEPENDENT CLAIMS NOT PREVIOUSLY PAID FOR.			<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> x \$140.00 <input type="checkbox"/> x \$280.00 ONE TIME	\$0
	TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0

- ☐ Applicant petitions the Commissioner for Patents to extend the time for responding to the Office Action dated _____ by _____ month(s) for a fee of \$_____ so that the period for response is extended to _____ under 37 C.F.R. § 1.321.
- ☐ The amount of \$_____ for the Terminal Disclaimer under 37 C.F.R. § 1.321 is included in the enclosed check.
- ☐ The amount of \$_____ for the Amendment under 37 C.F.R. § 1.312(b) is included in the enclosed check.
- ☐ The enclosed credit card payment form to charge the amount of \$_____ covers the extension fee.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to Account No. 19-3140. A duplicate of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

14. ☒ Customer No. 26263

Dated: September 9, 2004

David Rozenblat (Registration No. 47,044)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Dated: September 9, 2004

Roxanne M. Swartz



IFW

Attorney Docket No. 09792909-5708

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shibanuma et al.

Application No. 10/656,659

Filed: September 5, 2003

For: BATHOPHENANTHROLINE
COMPOUND AND PROCESS FOR
PREPARING SAME

) Group Art Unit: 1625

) Examiner: Evelyn Mei Huang

I hereby certify that this document is being deposited
with the United States Postal Service as first class mail
in an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on
September 9, 2004.

Roxanne M. Swartz

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Dear Sir:

This Response is submitted in response to the Notice of Non-Compliant Amendment
mailed August 26, 2004. Applicants respectfully requests amendment of the patent application,
and reconsideration and allowance of the pending claims.